

112603

17114 U.S. PTO

"Express Mail" mailing label number EV 327 129 534 US

Date of Deposit November 26, 2003.

03917 U.S. PTO
10/724350

112603

REQUEST FOR FILING A CONTINUING PATENT APPLICATION UNDER 37 CFR § 1.53(b)(1)

Case No.	ANTICIPATED CLASSIFICATION OF THIS APPLICATION		PRIOR APPLICATION EXAMINER	ART UNIT
7103/405	CLASS	SUBCLASS	M. Rachuba	3723

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

This is a request for filing a ☒ continuation ☐ divisional application under 37 CFR § 1.53(b)(1), of pending prior application number 09/957,433, filed on September 20, 2001, entitled INTEGRATED PAD AND BELT FOR CHEMICAL MECHANICAL POLISHING.

- ☒ Patent application, including 5 sheets of drawings, 15 pages of Application (including title page), and the following Appendices _____.
- ☒ Copy of the Declaration filed in the Prior application.
- ☐ PTO Form 1449 and Information Disclosure Statement.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	- 20 =		x \$ 18 =	\$
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	- 3 =		x \$ 86 =	\$
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$290 =	\$ 0
				BASIC FEE (37 CFR 1.16(a))	\$ 770
				Total of above Calculations =	\$
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28)				\$
				TOTAL =	\$

- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27
 - ☐ is enclosed.
 - ☐ was filed in prior application number _____ and such status is still proper and desired (37 CFR 1.28(a)).
- ☐ The Commissioner is hereby authorized to charge any fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☐ Enclosed is a check for \$ _____ to cover the filing fees.
- ☐ Cancel in this application original claims _____ of the prior application and otherwise enter the attached preliminary amendment before calculating the filing fee. (At least one original independent claim must be retained for filing purposes).
- ☒ The inventor(s) of the invention being claimed in this application is(are): Anil K. Pant, Rahul Jairath, Kamal Mishra, Saket Chadda, and Wilbur C. Krusell.
- ☐ This application is being filed by less than all the inventors named in the prior application. In accordance with 37 CFR 1.63(d)(2), the Commissioner is requested to delete the name(s) of the following person or persons who are not inventors of the invention being claimed in this application: _____.
- ☐ Amend the specification by inserting before the first line the sentence: "This application is a ☐ continuation ☐ division of application number _____, filed _____, (pending), which is hereby incorporated by reference herein."
- ☐ New formal drawings are enclosed.

12. ☐ Priority of foreign application number _____, filed on _____ in _____ is claimed under 35 U.S.C. 119.
☐ The certified copy has been filed in prior application number _____, filed
13. ☐ A preliminary amendment is enclosed.
14. ☒ The prior application is assigned of record to Lam Research Corporation.
15. ☒ Also enclosed: Communication.
16. ☒ The power of attorney in the prior application is to: Kent E. Genin and other attorneys at the firm of BRINKS HOFER GILSON & LIONE.
- a. ☒ A copy of the Power of Attorney is enclosed.
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☒ Address all future correspondence to: (may only be completed by applicant, or attorney or agent of record.)

November 26, 2003
Date

Kent E. Genin
BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312)321-4200

Kent E. Genin
Signature
Name: Kent E. Genin
Reg. No. 37,834

- ☐ Inventor(s)
☐ Assignee of complete interest
☒ Attorney or agent of record
☐ Filed under 37 CFR 1.34(a)
Registration Number if acting under 37 CFR 1.34(a): _____

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Our Case No. 7103/405

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
)	
Anil K. Pant et al.)	
)	Examiner: M. Rachuba
Serial No. To be Assigned)	
)	Group Art Unit: 3723
Filing Date: Herewith)	
)	
For INTEGRATED PAD AND BELT)	
FOR CHEMICAL MECHANICAL)	
POLISHING)	

COMMUNICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

As permitted by 37 C.F.R. §1.63(d)(1)(i-iv) and MPEP §201.06(c) Applicants have filed herewith a copy of the original Declaration and a new specification and drawings that do not contain any subject matter that would have been new matter in the prior application. The accompanying new specification and drawings differ from the original specification and drawings for grandparent case Serial No. 08/800,373 in the following ways:

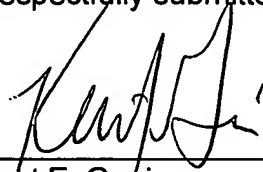
- 1) A reference to the parent applications has been added to the first line of the application as required by 37 C.F.R. §1.78.
- 2) Paragraph numbers have been used in place of line numbers pursuant to 37 C.F.R. §1.52(b)(6).
- 3) Present claims 1-7 correspond to original claims 7-13 and present claims 8-20 are new claims directed to the disclosed methods of integrating a pad and belt.

4) A legend of "prior art" has been added to Figures 1 and 2 as was requested by the Examiner in prosecution of the grandparent case.

5) Reference number 34 in Figures 4A and 4B has been replaced with reference number 30 as was approved by the Examiner in the prosecution of the grandparent case.

Applicants' corrected specification and drawings are provided to take advantage of the provisional rights potentially available to Applicants under 35 U.S.C. §154(d) as suggested in 1251 OG 54 (Oct. 9, 2001). Applicants submit that no new matter has been added.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Kent E. Gerin", is written over a horizontal line.

Kent E. Gerin
Registration No. 37,834
Attorney for Applicant

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